

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Novartis

Application No./Patent No.: 10/538,201 Filed/Issue Date: March 8, 2008

Entitled: ANTIBODY ("11C7") ANTI NOGO A AND ITS PHARMACEUTICAL USE

Novartis, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
 (The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature] 20.11.2008
Signature Date
Anna V. Frankel Arthur Canovic +41613246631
Printed or Typed Name Telephone Number
Administrative Professionals
Title

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	Application Number	10/538,201
	Filing Date	March 8, 2006
	First Named Inventor	Carmen Barske
	Title	Antibody (11C7) anti Nogo A and its pharmaceutical use.
	Art Unit	1647
	Examiner Name	S. L. Wegert
	Attorney Docket No.	82922(302934)

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

21874

OR

☐ I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☒ Firm or Individual Name
EDWARDS ANGELL PALMER & DODGE LLP
Kathleen Williams.

Address P.O. Box 55874

City	Boston	State	MA	Zip	02205
Country	USA	Telephone	(617) 239-0100	Email	

I am the:

☐ Applicant/Inventor.

OR

☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on

SIGNATURE of Applicant or Assignee of Record	
Signature	Date 10.11.2008
Name	Telephone +41 61 324 66 81
Title and Company Authorized Signer, Novartis	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: University of Zurich

Application No./Patent No.: 10/538,201 Filed/Issue Date: March 8, 2006

Entitled: Antibody (11C7) anti Nogo A and its pharmaceutical use

University of Zurich, a university
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

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OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 802.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

A. S. M.
Signature

Adrian Sgrist
Technology Transfer Officer

Title

Dec 4, 2008
Date

441 44 634 44 08
Telephone Number

POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/538,201</td> </tr> <tr> <td>Filing Date</td> <td>March 8, 2006</td> </tr> <tr> <td>First Named Inventor</td> <td>Carmen Barske</td> </tr> <tr> <td>Title</td> <td>Antibody (11G7) anti Nogo A and its pharmaceutical use</td> </tr> <tr> <td>Art Unit</td> <td>1647</td> </tr> <tr> <td>Examiner Name</td> <td>S. L. Wegert</td> </tr> <tr> <td>Attorney Docket No.</td> <td>82922(302934)</td> </tr> </table>	Application Number	10/538,201	Filing Date	March 8, 2006	First Named Inventor	Carmen Barske	Title	Antibody (11G7) anti Nogo A and its pharmaceutical use	Art Unit	1647	Examiner Name	S. L. Wegert	Attorney Docket No.	82922(302934)
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<input type="checkbox"/> A Power of Attorney is submitted herewith. OR <input checked="" type="checkbox"/> I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith: <div style="float: right; border: 1px solid black; padding: 5px; margin-top: 10px;">21874</div>															
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<input type="checkbox"/> The address associated with the above-mentioned Customer Number: OR <input type="checkbox"/> The address associated with Customer Number: <div style="float: right; border: 1px solid black; width: 150px; height: 20px; margin-top: 10px;"></div>															
OR															
<input checked="" type="checkbox"/> Firm or Individual Name	EDWARDS ANGELL PALMER & DODGE LLP Kathleen Williams														
Address	P.O. Box 55874														
City	Boston														
State	MA														
Zip	02205														
Country	USA														
Telephone	(617) 239-0100														
Email															
I am the:															
<input type="checkbox"/> Applicant/Inventor. OR <input type="checkbox"/> Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on															
SIGNATURE of Applicant or Assignee of Record															
Signature	<i>[Handwritten Signature]</i>														
Date	Dec. 4, 2008														
Name	ANDRIAN SIGRIST														
Telephone	441 44 634 44 08														
Title and Company	Authorized Signer, University of Zurich														
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.															
<input type="checkbox"/> *Total of _____ forms are submitted.															